



Image

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gil M. VARDI et al.
Title: Extendible Stent Apparatus
Appln. No.: 09/600,348
Filed: 01/07/2002
Examiner: Thomas Sweet
Art Unit: 3738

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This supplemental Information Disclosure Statement supplements the Information Disclosure Statement filed January 14, 2004. In accordance with 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is directed to the cited references listed on the attached PTO/SB/08.

Many of the cited references were previously cited in the subject application and the parent applications. In accordance with 37 C.F.R. 1.98(d), no copies of these references are submitted herewith.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance. Accordingly, payment of the fee of \$180.00 under 37 C.F.R. § 1.17(p) is submitted herewith. Further, the Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-2228.

The following listed United States patents and published patent applications are listed on the attached PTO/SB/08 but were not submitted previously in the subject

application or in the parent applications. Copies of the following United States patents and publications are not enclosed:

3,657,444; Ersek	4,309,994; Grunwald
4,453,545; Inoue	4,731,055; Melinyshyn
4,819,664; Nazari	4,878,495; Grayzel
4,906,244; Pinchuk	4,909,258; Kuntz
5,059,177; Towne	5,102,417; Palmaz
5,117,831; Jang	5,135,536; Hillstead
5,159,920; Condon	5,176,617; Fischell
5,257,974; Cox	5,263,932; Jang
5,282,472; Companion	5,324,257; Osborne
5,338,300; Cox	5,342,297; Jang
5,383,892; Ansel	5,395,332; Ressemann
5,395,334; Keith	5,413,581; Goy
5,456,694; Marin	5,505,702; Arney
5,549,553; Ressemann	5,549,554; Miraki
5,562,620; Klein	5,571,087; Ressemann
5,575,771; Walinsky	5,591,228; Edoga
5,609,625; Piplani	6,609,629; Fearnot
6,613,949; Miraki	5,626,600; Korzewski
5,632,772; Alcime	5,662,614; Edoga
5,679,400; Tuch	5,690,642; Osborne
5,718,583; Ressemann	5,724,977; Yock
5,728,158; Lau	5,746,766; Edoga
5,749,848; Jang	5,776,101; Goy
5,800,450; Lary	5,814,061; Osborne
5,817,126; Imran	5,824,008; Bolduc
5,833,650; Imran	5,837,008; Berg
5,843,160; Rhodes	5,843,164; Frantzen

5,846,204; Solomon	5,855,600; Alt
5,871,537; Holman	5,891,133; Murphy-Chutorian
5,921,958; Ressemann	5,928,248; Acker
5,948,016; Jang	5,951,599; McCrory
5,967,986; Cimochoowski	6,007,517; Anderson
6,013,054; Juin Yan	6,045,557; White
6,059,823; Holman	6,059,824; Taheri
6,071,285; Lashinski	6,090,128; Douglas
6,142,973; Carleton	6,165,197; Yock
6,190,403; Fischell	6,210,429; Vardi
6,231,598; Berry	5,231,600; Zhong
6,235,051; Murphy	6,241,762; Shanley
6,258,121; Yang	6,273,913; Wright
6,293,967; Shanley	6,299,634; Bergeron
6,309,414; Rolando	6,312,459; Huang
6,325,826; Vardi	6,383,215; Sass
6,395,018; Castaneda	6,398,792; O'Connor
6,527,799; Shanley	6,572,647; Supper
6,599,316; Vardi	2001/0027338; Greenberg
2002/0013619; Shanley	2002/0042650; Vardi
2002/0052648; McGuckin	2002/0072790; McGuckin
2002/0111675; Wilson	2002/0151959; Von Oepen
2002/0156516; Vardi	2002/0156517; Perouse
2002/0165604; Shanley	2002/0173835; Bourang
2002/0193872; Trout	2003/0009214; Shanley
2003/0014102; Hong	2003/0125799; Limon
2004/0015227; Vardi	

The following listed foreign references are listed on the attached PTO/SB/08 but were not submitted previously in the subject application or in a parent application.

Accordingly, copies of the following foreign references are submitted herewith on the attached CD-Rom:

CA 2,318,314; 07/22/1999	EP 684022; 11/24/1995
EP 884028; 12/16/1998	EP 891751; 01/20/1999
EP 897698; 02/24/1999	WO 00/74595; 12/14/2000
WO 01/21095; 03/29/2001	WO 01/21109; 03/29/2001
WO 01/21244; 03/29/2001	WO 01/70299; 09/27/2001
WO 02/68012; 09/06/2002	WO 02/76333; 10/03/2002
WO 02/94336; 11/28/2002	WO 03/55414; 07/10/2003
WO 90/13332; 11/15/1990	WO 91/12779; 09/05/1991
WO 95/21592; 08/17/1995	WO 97/09946; 03/20/1997
WO 97/16217; 05/09/1997	WO 97/26936; 07/31/1997
WO 98/37833; 09/03/1998	WO 99/34749; 07/15/1999
WO 99/36002; 07/22/1999	WO 99/58059; 11/18/1999

The following listed articles are listed on the attached PTO/SB/08 but were not submitted previously in the subject application or in a parent application. Accordingly, copies of the following articles are submitted herewith on the attached CD-Rom:

Dichek, et al.; <i>Circulation</i> , 80: 1347-1353 (1989)
Chevalier, et al.; <i>American Journal of Cardiology</i> , 82: 943-949 (1998)

Yamashita, et al.; *Journal of American College of Cardiology*, 35: 1145-1151 (2000)

Satler, et al.; *Catheterization and Cardiovascular Interventions*, 50: 411-412 (2000)

No representation is made or intended that more relevant information does not exist or that the order of presentation of the references in any way reflects their relative pertinence. Additionally, submission of references herewith in no way constitutes an admission by Applicants that the submitted references constitute prior art to the present application.

Applicants respectfully request that each of the cited references be expressly considered during the prosecution of this application and that the cited references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.


Respectfully submitted,

March 16, 2004
Date

Customer No. 32042

Telephone: (703) 744-7907

Facsimile: (703) 744-8001


Michele Van Patten Frank
Registration No. 37,028



FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number 09/600,348
Filing Date 01/07/2002
First Named Inventor Gil M. VARDI
Examiner Name Thomas Sweet
Art Unit 3738
Attorney Docket No. 021617.0203PTUS

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☐ Deposit Account:

Deposit
Account
Number 50-2228

Deposit
Account
Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims		Fee from below		Fee Paid
Total Claims		-20 **	=	0	X		= 0
Independent Claims		-3 **	=	0	X		= 0
Multiple Dependent					X		= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$180)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Michele Van Patten Frank Registration No. (Attorney/Agent) 37,028 Telephone (703) 744-8000
Signature [Signature] Date March 16, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

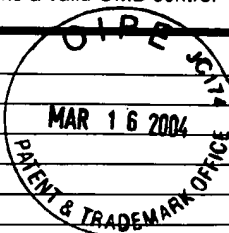
Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**Date Submitted: March 16, 2004

(use as many sheets as necessary)

Sheet 1 of 12**Complete if Known**

Application Number	09/600,348
Filing Date	January 7, 2002
First Named Inventor	Gil M. VARDI
Group Art Unit	3738
Examiner Name	Thomas Sweet
Attorney Docket Number	021617/0203PTUS

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		1,596,754		J.D. MOSCHELLE	08/17/1926	
		3,657,744		ERSEK	04/25/1972	
		3,872,893		ROBERTS	03/25/1975	
		4,140,126		CHOUDHURY	02/20/1979	
		4,309,994		GRUNWALD	01/12/1982	
		4,410,476		REDDING et al.	10/18/1983	
		4,413,989		SCHJELDAHL	11/08/1983	
		4,421,810		RASMUSSEN	12/20/1983	
		4,453,545		INOUE	06/12/1984	
		4,503,569		DOTTER	03/12/1985	
		4,552,554		GOULD et al.	11/12/1985	
		4,681,570		DALTON	07/21/1987	
		4,689,174		LUPKE	08/25/1987	
		4,731,055		MELINYSHYN et al.	03/15/1988	
		4,733,665		PALMAZ	03/29/1988	
		4,739,762		PALMAZ	04/26/1988	
		4,742,128		ROSENBLUTH	08/09/1988	
		4,759,748		REED	07/26/1998	
		4,762,128		ROSENBLUTH	12/22/1998	
		4,769,029		PATEL	09/06/1988	
		4,819,664		NAZARI	04/11/1989	
		4,872,874		TAHERI	10/10/1989	
		4,878,495		GRAYZEL	11/07/1989	
		4,896,670		CRITTENDEN	01/30/1990	
		4,900,314		QUACKENBUSH	02/13/1990	
		4,906,244		PINCHUK et al.	03/06/1990	
		4,909,258		KUNTZ et al.	03/20/1990	
		4,957,501		LAHILLE et al.	09/18/1990	
		4,957,508		KANEKO et al.	09/18/1990	
		4,964,850		BOUTON et al.	10/23/1990	
		4,983,167		SAHOTA	01/08/1991	
		4,994,071		MACGREGOR	02/19/1991	
		5,042,976		ISHITSU et al.	08/27/1991	
		5,054,501		CHUTTANI et al.	10/08/1991	
		5,059,177		TOWNE et al.	10/22/1991	
		5,061,240		CHERIAN	10/29/1991	
		5,064,435		PORTER	11/12/1991	
		5,102,403		ALT	04/07/1992	

Examiner
SignatureDate
Considered

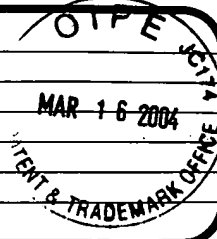
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: <u>March 16, 2004</u> <i>(use as many sheets as necessary)</i>			Complete if Known	
			Application Number	09/600,348
			Filing Date	January 7, 2002
			First Named Inventor	Gil M. VARDI
			Group Art Unit	3738
			Examiner Name	Thomas Sweet
Sheet <u>2</u> of <u>12</u>			Attorney Docket Number	021617/0203PTUS



U.S. PATENT DOCUMENTS

U.S. Patent Document				
5,102,417	PALMAZ	04/07/1992		
5,104,404	WOLFF	04/14/1992		
5,117,831	JANG	06/02/1992		
5,122,125	DEUSS	06/16/1992		
5,135,536	HILLSTEAD	08/04/1992		
5,147,317	SHANK et al.	09/15/1992		
5,159,920	CONDON ET AL.	11/03/1992		
5,176,617	FISCHELL et al.	01/05/1993		
5,192,297	HULL	03/09/1993		
5,217,440	FRASSICA	06/08/1993		
5,254,619	BURNHAM	09/14/1993		
5,257,974	COX	11/02/1993		
5,263,932	JANG	11/23/1993		
5,282,472	COMPANION et al.	02/01/1994		
5,304,220	MAGINOT	04/19/1994		
5,320,605	SAHOTA	06/14/1994		
5,324,257	OSBORNE et al.	06/28/1994		
5,337,733	BAUERFEIND et al.	08/16/1994		
5,338,300	COX	08/16/1994		
5,342,295	IMRAN	08/30/1994		
5,342,297	JANG	08/30/1994		
5,350,395	YOCK	09/27/1994		
5,383,892	ANSEL	01/24/1995		
5,387,235	CHUTER	02/07/1995		
5,395,332	RESSEMANN et al.	03/07/1995		
5,395,334	KEITH et al.	03/07/1995		
5,404,887	PRATHER	04/11/1995		
5,409,458	KHAIRKHAHAN et al.	04/25/1995		
5,413,581	GOY	05/09/1995		
5,413,586	DIBIE et al.	05/09/1995		
5,417,208	WINKLER	05/23/1995		
5,437,638	BOWMAN	08/01/1995		
5,443,497	VENBRUX	08/22/1995		
5,445,624	JIMINEZ	08/29/1995		
5,449,373	PINCHASIK et al.	09/12/1995		
5,449,382	DAYTON	09/12/1995		
5,456,694	MARIN et al.	10/10/1995		
5,456,712	MAGINOT	10/10/1995		
5,456,714	OWEN	10/10/1995		
5,458,605	KLEMM	10/17/1995		

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

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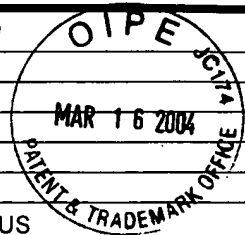
Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**Date Submitted: March 16, 2004

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Application Number	09/600,348
Filing Date	January 7, 2002
First Named Inventor	Gil M. VARDI
Group Art Unit	3738
Examiner Name	Thomas Sweet
Attorney Docket Number	021617/0203PTUS

Sheet 3 of 12**U.S. PATENT DOCUMENTS**

U.S. Patent Document		
5,462,530	G. DAVID JANG	10/31/1995
5,489,271	ANDERSON	02/06/1996
5,489,295	PIPLANI et al.	02/06/1996
5,496,292	BURNHAM	03/05/1996
5,505,702	ARNEY	04/09/1996
5,507,768	LAU	04/16/1996
5,507,769	MARIN et al.	04/16/1996
5,514,154	LAU et al.	05/07/1996
5,522,801	WANG	06/04/1996
5,531,788	DIBIE et al.	07/02/1996
5,545,132	FAGAN et al.	08/13/1996
5,549,553	RESSEMAN et al.	08/27/1996
5,549,554	MIRAKI	08/27/1996
5,562,620	KLEIN et al.	10/08/1996
5,562,724	VORWERK et al.	10/08/1996
5,562,725	SCHMITT et al.	10/08/1996
5,569,295	LAM	10/29/1996
5,571,087	RESSEMAN et al.	11/05/1996
5,575,771	WALINSKY	11/19/1996
5,575,818	PINCHUK	11/19/1996
5,591,228	EDOGA	01/07/1997
5,593,442	KLEIN	01/14/1997
5,607,444	LAM	03/04/1997
5,609,625	PIPLANI et al.	03/11/1997
5,609,627	GOICOECHEA et al.	03/11/1997
5,609,629	FEARNOT et al.	03/11/1997
5,613,949	MIRAKI	03/25/1997
5,613,980	CHAUHAN	03/25/1997
5,613,981	BOYLE et al.	03/25/1997
5,617,878	TAHERI	04/08/1997
5,626,600	HORZEWSKI et al.	05/06/1997
5,628,788	PINCHUK	05/13/1997
5,632,762	MYLER	05/27/1997
5,632,763	GLASTRA	05/27/1997
5,632,772	ALCIME et al.	05/27/1997
5,634,902	JOHNSON et al.	06/03/1997
5,639,278	DEREUME et al.	06/17/1997
5,643,340	NUNOKAWA	07/01/1997
5,653,743	MARTIN	08/05/1997

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**Date Submitted: March 16, 2004

(use as many sheets as necessary)

Sheet 4 of 12

Complete if Known

Application Number	09/600,348
Filing Date	January 7, 2002
First Named Inventor	Gil M. VARDI
Group Art Unit	3738
Examiner Name	Thomas Sweet
Attorney Docket Number	021617/0203PTUS

MAR 16 2004

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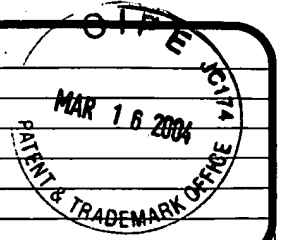
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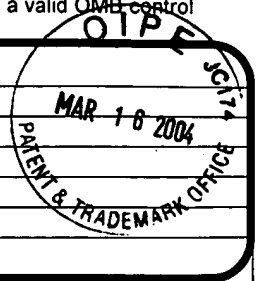
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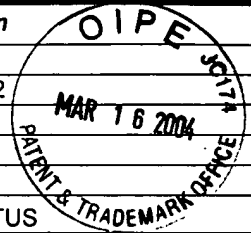
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			WO 99/65419			12/23/1999		

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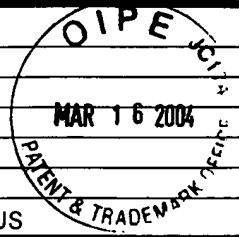
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